

MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2021

April 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	96.83	0.93	1.93	0.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	39.76	49.88	50.00	2.32	75.00	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	22.15	28.06	25.00	0.58	25.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	14.52	0.00	0.00	67.83	0.00	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	11.10	13.91	25.00	0.58	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	6.14	0.00	0.00	28.70	0.00	0	0	0	0	0	0	0

JANE STREET CAPITAL, LLC(JNST)	10.85	12.63	26.67	1.81	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	3.94	0.00	3.33	19.91	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3.67	4.62	0.00	0.45	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	2.01	2.59	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2.01	2.48	3.33	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.96	0.00	0.00	4.98	0.00	0	0	0	0	0	0	0	0
NYSE American(AMEX)	0.44	0.00	0.00	2.26	0.00	0	0	0	0	0	0	0	0

Material Aspects:

April 2021

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Citadel Securities LLC(CDRG)	45.31	50.49	57.89	2.88	25.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	24.45	26.76	26.32	5.77	25.00	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	12.64	14.36	10.53	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3.27	3.77	0.00	0.00	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	3.06	0.00	0.00	27.88	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	2.95	0.00	0.00	26.92	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	2.42	0.00	0.00	22.12	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	2.21	2.55	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2.00	2.07	5.26	0.00	25.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	1.58	0.00	0.00	14.42	0.00	0	0	0	0	0	0	0	0

Material Aspects:

May 2021

Option Contracts

Summary

Citadel Securities LLC(CDRG)	49.27	49.68	20.00	1.41	62.50	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	26.95	27.17	20.00	2.11	12.50	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	14.39	14.52	0.00	0.70	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3.56	3.59	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3.02	3.04	20.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.98	1.99	0.00	0.00	25.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.47	0.00	20.00	54.93	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.33	0.01	20.00	38.03	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.02	0.00	0.00	2.82	0.00	0	0	0	0	0	0	0	0

Material Aspects:

June 2021

Non S&P 500 Stocks

Summary

