

MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2022

July 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.62	0.25	0.13	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	36.5	36.97	50	7.41	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21.33	21.62	25	3.7	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	19.96	20.29	25	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	19.03	19.4	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.18	1.2	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	1.12	0	0	66.67	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.5	0.51	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.31	0	0	18.52	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.06	0	0	3.7	0	0	0	0	0	0	0	0

Material Aspects:

July 2022

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	93.36	5.21	1.42	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.76	34.56	37.5	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	25.76	26.43	27.5	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	20.71	20.84	27.5	4.55	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	16.35	17.28	7.5	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	1.53	0	0	59.09	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.59	0.64	0	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.24	0	0	9.09	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.24	0	0	9.09	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.24	0	0	9.09	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.24	0.25	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

July 2022

Option Contracts

Summary

Jane Street Capital, LLC(INST)	11.13	11.89	6.25	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	2.01	0	0	47.83	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1.19	0	0	28.26	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.19	1.2	2.08	0	0	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	0.27	0	0	6.52	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.27	0.2	0	2.17	0	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	0.09	0	0	0	100	0	0	0	0	0	0	0	0

Material Aspects:

August 2022

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

September 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.77	0.12	0.12	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	34.79	34.89	66.67	4.17	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	27.22	27.32	33.33	4.17	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	23.83	23.97	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	12.3	12.37	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.98	0.98	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.46	0.46	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.29	0	0	62.5	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.11	0	0	25	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.02	0	0	4.17	0	0	0	0	0	0	0	0

Material Aspects:

September 2022

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	95.6	3.6	0.8	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	36.62	36.61	52.94	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	27.34	28.19	14.71	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	22.03	22.52	17.65	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	11.79	11.97	11.76	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.48	0	2.94	26.67	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.48	0	0	33.33	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.48	0.51	0	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.39	0	0	26.67	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.19	0	0	13.33	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.19	0.2	0	0	100	0	0	0	0	0	0	0	0

Material Aspects:

September 2022

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects: