

MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2023

October 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.42	0.47	0.011	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	35.45	35.57	30.77	18.75	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	26.83	27.04	15.38	0.00	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	18.18	18.29	15.38	0.00	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	16.84	16.91	23.08	0.00	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.73	1.75	0.00	0.00	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.43	0.00	0.00	75.00	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.25	0.26	0.00	0.00	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.18	0.18	0.00	0.00	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.07	0.00	15.38	0.00	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.04	0.00	0.00	6.25	0	0	0	0	0	0	0	0	0

Material Aspects:

October 2023

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	95.39	3.64	0.97	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	38.72	40.30	33.33	2.22	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	23.57	24.32	22.92	4.44	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	17.26	17.69	20.83	2.22	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	15.66	15.90	20.83	4.44	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	2.03	0.00	0.00	62.22	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.02	1.01	2.08	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.65	0.70	0.00	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.36	0.00	0.00	11.11	0	0	0	0	0	0	0	0
Instinet BlockCross(BLKX)	0.22	0.00	0.00	0.00	100	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.22	0.00	0.00	6.67	0	0	0	0	0	0	0	0

Material Aspects:

October 2023

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

November 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.86	0.05	0.1	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.02	33.06	0.00	0.00	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	27.78	27.82	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.36	20.34	100.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	16.51	16.53	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.52	1.52	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.43	0.43	0.00	0.00	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.29	0.29	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.10	0.00	0.00	100.00	0.00	0	0	0	0	0	0	0	0

Material Aspects:

November 2023

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.41	0.42	0.17	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

December 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.68	0.21	0.11	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	34.68	34.61	66.67	33.33	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	25.17	25.21	16.67	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	19.97	20.00	0.00	33.33	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	18.01	18.06	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.02	1.02	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.88	0.88	0.00	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.21	0.21	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.04	0.00	0.00	33.33	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.04	0.00	16.67	0.00	0	0	0	0	0	0	0	0

Material Aspects:

December 2023

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	97.88	1.06	1.06	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	29.77	32.09	37.50	3.90	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	23.56	25.66	18.75	1.30	0.00	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	19.25	20.79	31.25	1.30	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	18.16	19.95	6.25	0.00	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	4.42	0.00	0.00	52.60	0.00	0	0	0	0	0	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	2.84	0.00	0.00	33.77	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.65	0.72	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.55	0.48	6.25	0.65	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.27	0.30	0.00	0.00	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.22	0.00	0.00	2.60	0.00	0	0	0	0	0	0	0	0

Material Aspects:

December 2023

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

