

MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2024

January 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.79	0.07	0.14	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.91	34.19	50	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	24.21	24.4	50	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	22.4	22.6	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	16.89	17.04	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.89	0.9	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.82	0	0	95.83	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.5	0.5	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.36	0.36	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.04	0	0	4.17	0	0	0	0	0	0	0	0

Material Aspects:

January 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	96.68	1.63	1.69	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	32.33	34.09	38.1	1.15	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	22.9	23.91	38.1	2.3	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	19.82	21	14.29	1.15	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	17.76	18.92	9.52	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.24	0	0	42.53	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	1.57	0	0	29.89	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.91	0.97	0	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.54	0.58	0	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.48	0	0	9.2	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.48	0.52	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

January 2024

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.50	0.14	0.11	0.25

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.8	33.85	50	0	28.57	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	25.77	25.7	25	0	71.43	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.29	20.37	0	20	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	17.96	18.02	25	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.75	0.76	0	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.65	0.65	0	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.65	0.65	0	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE) Members	0.07	0	0	40	0	0	0	0	0	0	0	0	0
Exchange(MEMX)	0.04	0	0	20	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.04	0	0	20	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	98.54	0.64	0.51	0.32

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	34.12	35.01	40	4.08	40	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	24.88	25.45	40	0	60	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.78	21.58	10	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	15.57	16.15	10	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	2.17	0	0	71.43	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.74	0.78	0	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.56	0.58	0	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.43	0.45	0	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.31	0	0	10.2	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.25	0	0	8.16	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2024

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

