MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Jul 11 2024 08:30:11 GMT-4 EDT

2nd Quarter, 2024

April 2024

S&P 500 Stocks

Summary

Non-Directed Orders as		Orders as % of Non-		Other Orders as % of Non- Directed Orders
100.00	99.67	0.12	0.18	0.03

Venues									N. I Barrara		Net Payment		Net Payment
							Net Payment	Net Payment	Net Payment Paid/Received for	Not Payment	Paid/Received for Non-Marketable	Net Payment	Paid/Received for Other
						Net Payment	-				Limit Orders(cents	Paid/Received	
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable		Paid/Received for				Non-Marketable	per hundred	for Other	per hundred
Order Flow		Market Orders(%)				· · · · · ·	•	Orders(USD)	hundred shares)	Limit Orders(USD)	-		shares)
Citadel Securities	Orders(76)	Iviaiket Olders(70)	Orders(70)	Lillit Orders(76)	Oruers(70)	ivialitet Orders(03D)	per fiultureu stiares)	Orders(03D)	iluliuleu silales)	Lilling Orders(03D)	silales)	Orders(U3D)	silates
LLC(CDRG)	35.91	36.18	25	۱ .	٥		۱ ,						ا
VIRTU Americas,	33.91	30.10	23	0	0			,	,	,		1	-
LLC(NITE)	26.47	26.6	25	4.55	100	C	0		0		d	0	o
Jane Street Capital,													
LLC(JNST)	18.52	18.61	25	4.55	0	C	0		0	o c	d	0	o
G1 Execution Services,													
LLC(ETMM)	17.18	17.29	25	0	0	C	0	0	0	0	o c	0	o
New York Stock													
Exchange(NYSE)	0.51	C	0	77.27	0	C	0	C	0) C	C	0	0
StoneX Financial													
INC.(INTL)	0.45	0.45	0	0	0	C	0	C	0	0	C	0	0
UBS Securities,													
LLC(UBSS)	0.45	0.45	0	0	0	C	0	0	0	0	C	0	0
Two Sigma Securities,													
LLC(SOHO)	0.42	0.42	2 0	0	0	C	0	0	0	0	C	0	0
Cboe EDGX US Equities													
Exchange(EDGX)	0.06	(0	9.09	0	C	0	0	0	C	C	0	0
NASDAQ Execution													
Services, LLC(NSDQ)	0.03	(0	4.55	0	C	0) 	0) C	0	0	0

Material	Aspects
----------	---------

April 2024

Non S&P 500 Stocks

Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100	99.88	0.08	0.04	0.01

Venues

											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)
Citadel Securities													
LLC(CDRG)	31.54	31.55	35.29	0	0	0	0	C	0	0	0	0	O
VIRTU Americas,													
LLC(NITE)	26.98	26.98	23.53	10	100	0	0	C	0	0	0	0	0
G1 Execution Services,													
LLC(ETMM)	20.8	20.81	11.76	10	0	0	0	C	0	0	0	0	0
Jane Street Capital,													
LLC(JNST)	18.36	18.37	17.65	0	0	0	0	C	0	0	0	0	0
StoneX Financial													
INC.(INTL)	0.99	0.99	0	0	0	0	0	C	0	0	0	0	0
UBS Securities,													
LLC(UBSS)	0.51	0.52	0	0	0	0	0	C	0	0	0	0	0
Two Sigma Securities,													
LLC(SOHO)	0.49	0.49	0	0	0	0	0	C	0	0	0	0	0
Instinet													
BlockCross(BLKX)	0.27	0.26	11.76	0	0	0	0	C	0	0	0	0	0
National Financial													
Services LLC(XSTM)	0.02	0.02	0	0	0	0	0	C	0	0	0	0	. 0
Cboe BZX U.S. Equities													
Exchange(BATS)	0.02	0	0	30	0	0	0	C	0	0	0	0	0

Material Aspects:

April 2024

Option Contracts

Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

Venues

											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)

Material Aspects:

May 2024

S&P 500 Stocks

Summary

· · · · · · · · · · · · · · · · ·				
	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100.00	99.58	0.15	0.15	0.12

	Non-Directed Orders(%)	Market Orders(%)		Non-Marketable Limit Orders(%)	Other	Net Payment Paid/Received for Market Orders(USD)	Paid/Received for Market Orders(cents	Paid/Received for	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Paid/Received for	per hundred	Paid/Received for Other	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities					66.67	_		_					
LLC(CDRG) VIRTU Americas,	32.22	32.34	33.33	0	66.67	C	0) (0	0	C	0	0
LLC(NITE)	25.22	25.29)	15.38	33.33	()				0	ام
G1 Execution Services,	23.22	25.25		15.50	33.33		Ĭ	,	,				- J
LLC(ETMM)	20.97	21.05	33.33	7.69	0	C	l o		0	0	d c	o	О
Jane Street Capital,													
LLC(JNST)	18.83	18.97	0	0	0	C	0	0	0	0	C	0	0
StoneX Financial													
INC.(INTL)	0.88	0.89	0	0	0	C	0	0	0	0	C	0	0
Two Sigma Securities,													
LLC(SOHO)	0.69	0.69	0	0	0	С	0	0	0	0	C	0	0
UBS Securities,				_		_		_			1		
LLC(UBSS)	0.65	0.66	0	0	0	C	0		0	0	0	0	0
New York Stock	0.24			60.22									
Exchange(NYSE) Instinet	0.34	<u> </u>	0	69.23	0	C	0)	0	0	U C	0	0
BlockCross(BLKX)	0.11	0.12	ا ا	۱ ,		ر	,						ا ا
Choe EDGX US Equities	0.11	0.12		<u> </u>	- 0			,	,	<u> </u>	1	1	-
Exchange(EDGX)	0.04	c	0	7.69	0	C	α) (0	0	c	0	0

May 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as	as % of Non-	Orders as % of Non-		% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100	98.84	0.34	0.75	0.07

											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)
Citadel Securities													
LLC(CDRG)	31.3	31.82	70	10.99	0	C	0	0	0	0	0	0	0
VIRTU Americas,													
LLC(NITE)	26.46	27.23	10	2.2	100	C	0	0	0	0	0	0	0
G1 Execution Services,													
LLC(ETMM)	19.89	20.53	20	0	0	C	0	0	0	0	0	0	0
Jane Street Capital,													
LLC(JNST)	17.48	18.07	0	1.1	0	C	0	0	0	0	0	0	0
NYSE Arca(ARCA)	2.54	0	0	83.52	0	C	0	0	0	0	0	0	0
StoneX Financial													
INC.(INTL)	1.13	1.17	0	0	0	C	0	0	0	0	0	0	0
UBS Securities,													
LLC(UBSS)	0.47	0.48	0	0	0	C	0	0	0	0	0	0	0
Two Sigma Securities,													
LLC(SOHO)	0.43	0.45	0	0	0	C	0	0	0	0	0	0	0
Instinet													
BlockCross(BLKX)	0.23	0.24	. 0	0	0	C	0	0	0	0	0	0	0
Members													
Exchange(MEMX)	0.03	0	0	1.1	0	C	0	0	0	0	0	0	0

May 2024

Option Contracts

Summary

Non-Directed Orders as		Marketable Limit Orders as % of Non-	Non-Marketable Limit Orders as % of Non-	Other Orders as % of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

VCHUCS													
											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)

				1		
		I				
		I				
		I				
				1		

June 2024

S&P 500 Stocks

Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100.00	99.64	0.13	0.17	0.05

venues											IN . I B		
											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)
VIRTU Americas,													
LLC(NITE)	29.89	29.92	38.46	3.85	80	0	0	0	0	0	0	0	0
Citadel Securities													
LLC(CDRG)	28.75	28.83	30.77	0	20	0	0	0	0	o c	0	0	0
G1 Execution Services,													
LLC(ETMM)	20.1	20.15	15.38	7.69	0	0	0	0	0	O C	0	0	0
Jane Street Capital,													
LLC(JNST)	18.5	18.54	7.69	11.54	0	0	0	0	0	O C	0	0	0
UBS Securities,													
LLC(UBSS)	1.07	1.07	0	0	0	0	0	0	0	O C	0	0	0
StoneX Financial													
INC.(INTL)	0.99	0.99	0	0	0	0	0	C	0	C	0	0	0
Two Sigma Securities,													
LLC(SOHO)	0.49	0.48	0	3.85	0	0	0) c	0) c	0	0	0

NASDAQ Execution													
Services, LLC(NSDQ)	0.12	0	7.69	42.31	0	0	0	0	0	0	0	0	0
New York Stock													
Exchange(NYSE)	0.06	0	0	23.08	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities													
Exchange(EDGX)	0.02	0	0	7.69	0	0	0	0	0	0	0	0	0

June 2024

Non S&P 500 Stocks

Summary

			Non-Marketable Limit	
Non-Directed Orders as % of All Orders	as % of Non- Directed Orders			% of Non- Directed Orders
100		1.5	1.14	

venues											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)
VIRTU Americas,													
LLC(NITE)	30.82	31.67	21.74	0	100	0	0	0	0	0	0	0	0
Citadel Securities													
LLC(CDRG)	28.68	29.27	30.43	3.2	0	0	0	0	0	0	0	0	0
G1 Execution Services,													
LLC(ETMM)	18.7	18.96	31.88	0.8	0	0	0	0	0	0	0	0	0
Jane Street Capital,													
LLC(JNST)	17.11	17.56	13.04			0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	1.33	0	0	57.6	0	0	0	0	0	0	0	0	. 0
StoneX Financial													
INC.(INTL)	1.13	1.17	0	0	0	0	0	0	0	0	0	0	. 0
UBS Securities,													
LLC(UBSS)	1	1.04	0	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution													
Services, LLC(NSDQ)	0.37	0	2.9	14.4	0	0	0	0	0	0	0	0	. 0
Two Sigma Securities,													
LLC(SOHO)	0.3	0.31	0	0	0	0	0	0	0	0	0	0	. 0
New York Stock													
Exchange(NYSE)	0.24	0	0	10.4	0	0	0	0	0	0	0	0	0

Mate	terial Aspects:			
Jun	ne 2024			
Opt	tion Contracts	 	 	

Summary				
	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

Venues

											Net Payment		Net Payment
									Net Payment		Paid/Received for		Paid/Received
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment	for Other
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received	Orders(cents
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other	per hundred
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)	shares)
											<u> </u>	<u> </u>	
											<u> </u>	<u> </u>	

Material Aspects: