# MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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## 3rd Quarter, 2024

## July 2024

## S&P 500 Stocks

#### Summary

Non-Directed Orders as	as % of Non-	Marketable Limit Orders as % of Non-	Non-Marketable Limit Orders as % of Non-	Other Orders as % of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100.00	99.44	0.15	0.41	0

Venues

	Non-Directed Orders(%)			Non-Marketable Limit Orders(%)	Other	Net Payment	Paid/Received for Market Orders(cents	Paid/Received for Marketable Limit	Orders(cents per	Net Payment Paid/Received for	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
VIRTU Americas,	20.25	20.44		12.64								
LLC(NITE) Citadel Securities	29.25	29.41	0	13.64	0	0	0	0	0	0	0	0
LLC(CDRG)	27.87	28.02	0	13.64	0	0	0	0	0	0	0	0
G1 Execution Services,			-		-	-	-			-		
LLC(ETMM)	21.24	21.4	33.33	0	0	0	0	o o	o	0	o	0
Jane Street Capital,												
LLC(JNST)	19.56	19.56	66.67	13.64	0	0	0	0	0	0	0	ν O
UBS Securities,												
LLC(UBSS)	0.67	0.68	0	0	0	0	0	0 0	00	0	0	, <b>O</b>
Two Sigma Securities,												
LLC(SOHO)	0.48	0.49	0	0	0	0	0	0 0	0	0	0	. <b>O</b>
StoneX Financial												
INC.(INTL)	0.45	0.45	0	0	0	0	0	0 0	0	0	0	, O
New York Stock												
Exchange(NYSE)	0.41	0	0	50	0	0	0	0	0	0	0	, O
NASDAQ Execution												
Services, LLC(NSDQ)	0.07	0	0	9.09	0	0	0	0	0	0	0	0

Material Aspects:

# July 2024

## Non S&P 500 Stocks

#### Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders

#### Venues

	Non-Directed Orders(%)			Non-Marketable Limit Orders(%)	Other	Net Payment Paid/Received for Market Orders(USD)		Net Payment Paid/Received for Marketable Limit	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
VIRTU Americas,												
LLC(NITE)	28.96	29.21	23.08	8.51	0	0	C	0 0	0	0	0	0
Citadel Securities												
LLC(CDRG)	27.88	28.04	30.77	8.51	0	0	C	0 0	0	0	0	0
G1 Execution Services,												
LLC(ETMM)	20.59	20.81	17.95	0	0	0	C	0 0	0	0	0	0
Jane Street Capital,												
LLC(JNST)	19.59	19.73	23.08	2.13	0	0	C	0 0	0	0	0	0
UBS Securities,												
LLC(UBSS)	0.98	0.98	2.56	0	0	0	0	0 0	0	0	0	0
StoneX Financial												
INC.(INTL)	0.86		0	0	0	0	C	0 0	0	0	0	0
NYSE Arca(ARCA)	0.42	0	0	44.68	0	0	C	0 0	0	0	0	0
Two Sigma Securities,												
LLC(SOHO)	0.32	0.31	2.56	0	0	0	0	0 0	0	0	0	0
NASDAQ Execution												
Services, LLC(NSDQ)	0.18	0	0	19.15	0	0	C	0 0	0	0	0	0
New York Stock												
Exchange(NYSE)	0.1	0	0	10.64	0	0	0	0 0	0	0	0	0

#### Material Aspects:

July 2024

**Option Contracts** 

Summary				
	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

Venues

Venues												
											Net Payment	
									Net Payment		Paid/Received for	
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)

Material Aspects:

August 2024

### S&P 500 Stocks

Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100.00	99.75	0.05	0.2	0

Venues

- [												Net Payment	
										Net Payment		Paid/Received for	
								Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment
							Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
	Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
	Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)

27.94	28.23	0	1.67	0	0	0	0	0	0	0	0
26.81	27.06	0	3.33	0	0	0	0	0	0	0	0
23.4	23.59	0	6.67	0	0	0	0	0	0	0	0
17.88	18.05	0	1.67	0	0	0	0	0	0	0	0
1.02	1.03	0	0	0	0	0	0	0	0	0	0
0.95	0.96	0	0	0	0	0	0	0	0	0	0
0.88	0.89	0	0	0	0	0	0	0	0	0	0
0.62	0	75	56.67	0	0	0	0	0	0	0	0
0.25	0	25	23.33	0	0	0	0	0	0	0	0
0.18	0.19	0	0	0	0	0	0	0	0	0	0
	26.81 23.4 17.88 1.02 0.95 0.88 0.62 0.25	26.81         27.06           23.4         23.59           17.88         18.05           1.02         1.03           0.95         0.96           0.88         0.89           0.62         0           0.25         0 <td>26.81       27.06       0         23.4       23.59       0         17.88       18.05       0         1.02       1.03       0         0.95       0.96       0         0.88       0.89       0         0.62       0       75         0.25       0       25</td> <td>26.81       27.06       0       3.33         23.4       23.59       0       6.67         17.88       18.05       0       1.67         1.02       1.03       0       0         0.95       0.96       0       0         0.88       0.89       0       0         0.62       0       75       56.67         0.25       0       25       23.33</td> <td>26.81       27.06       0       3.33       0         23.4       23.59       0       6.67       0         17.88       18.05       0       1.67       0         1.02       1.03       0       0       0         0.95       0.96       0       0       0         0.88       0.89       0       0       0         0.62       0       75       56.67       0         0.25       0       25       23.33       0</td> <td>26.81       27.06       0       3.33       0       0         23.4       23.59       0       6.67       0       0         17.88       18.05       0       1.67       0       0         1.02       1.03       0       0       0       0         0.95       0.96       0       0       0       0         0.88       0.89       0       0       0       0         0.62       0       75       56.67       0       0         0.25       0       25       23.33       0       0</td> <td>26.81       27.06       0       3.33       0       0       0         23.4       23.59       0       6.67       0       0       0         17.88       18.05       0       1.67       0       0       0         1.02       1.03       0       0       0       0       0         0.95       0.96       0       0       0       0       0         0.88       0.89       0       0       0       0       0         0.62       0       75       56.67       0       0       0         0.25       0       25       23.33       0       0       0</td> <td>26.81       27.06       0       3.33       0       0       0       0         23.4       23.59       0       6.67       0       0       0       0         17.88       18.05       0       1.67       0       0       0       0       0         1.02       1.03       0       0       0       0       0       0       0       0         0.95       0.96       0       0       0       0       0       0       0       0         0.88       0.89       0       0       0       0       0       0       0       0         0.62       0       75       56.67       0       0       0       0       0         0.25       0       25       23.33       0       0       0       0       0</td> <td>26.81       27.06       0       3.33       0       0       0       0       0         23.4       23.59       0       6.67       0       0       0       0       0       0         17.88       18.05       0       1.67       0</td> <td>26.81       27.06       0       3.33       0       <t< td=""><td><math display="block">\begin{array}{c c c c c c c c c c c c c c c c c c c </math></td></t<></td>	26.81       27.06       0         23.4       23.59       0         17.88       18.05       0         1.02       1.03       0         0.95       0.96       0         0.88       0.89       0         0.62       0       75         0.25       0       25	26.81       27.06       0       3.33         23.4       23.59       0       6.67         17.88       18.05       0       1.67         1.02       1.03       0       0         0.95       0.96       0       0         0.88       0.89       0       0         0.62       0       75       56.67         0.25       0       25       23.33	26.81       27.06       0       3.33       0         23.4       23.59       0       6.67       0         17.88       18.05       0       1.67       0         1.02       1.03       0       0       0         0.95       0.96       0       0       0         0.88       0.89       0       0       0         0.62       0       75       56.67       0         0.25       0       25       23.33       0	26.81       27.06       0       3.33       0       0         23.4       23.59       0       6.67       0       0         17.88       18.05       0       1.67       0       0         1.02       1.03       0       0       0       0         0.95       0.96       0       0       0       0         0.88       0.89       0       0       0       0         0.62       0       75       56.67       0       0         0.25       0       25       23.33       0       0	26.81       27.06       0       3.33       0       0       0         23.4       23.59       0       6.67       0       0       0         17.88       18.05       0       1.67       0       0       0         1.02       1.03       0       0       0       0       0         0.95       0.96       0       0       0       0       0         0.88       0.89       0       0       0       0       0         0.62       0       75       56.67       0       0       0         0.25       0       25       23.33       0       0       0	26.81       27.06       0       3.33       0       0       0       0         23.4       23.59       0       6.67       0       0       0       0         17.88       18.05       0       1.67       0       0       0       0       0         1.02       1.03       0       0       0       0       0       0       0       0         0.95       0.96       0       0       0       0       0       0       0       0         0.88       0.89       0       0       0       0       0       0       0       0         0.62       0       75       56.67       0       0       0       0       0         0.25       0       25       23.33       0       0       0       0       0	26.81       27.06       0       3.33       0       0       0       0       0         23.4       23.59       0       6.67       0       0       0       0       0       0         17.88       18.05       0       1.67       0	26.81       27.06       0       3.33       0 <t< td=""><td><math display="block">\begin{array}{c c c c c c c c c c c c c c c c c c c </math></td></t<>	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

#### Material Aspects:

## August 2024

## Non S&P 500 Stocks

#### Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100	99.52	0.25	0.22	0.01

Venues

Venues												
											Net Payment	
									Net Payment		Paid/Received for	
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)
VIRTU Americas,												
LLC(NITE)	27.91	28.12	34.78	0	0	0	0	0 0	0	0	0	0
Citadel Securities												
LLC(CDRG)	27.15	27.37	21.74	1.16	100	0	0	0 0	0	0	0	0

Jane Street Capital,												
LLC(JNST)	22.74	22.92	17.39	2.33	0	0	0	0	0	0	0	0
G1 Execution Services,												
LLC(ETMM)	18.18	18.32	17.39	1.16	0	0	0	0	0	0	0	0
UBS Securities,												
LLC(UBSS)	0.99	1	0	0	0	0	0	0	0	0	0	0
StoneX Financial												
INC.(INTL)	0.95	0.96	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities,												
LLC(SOHO)	0.86	0.86	4.35	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.41	0	0	51.16	0	0	0	0	0	0	0	0
HRT FINANCIAL												
LP(HRTF)	0.34	0.35	0	0	0	0	0	0	0	0	0	0
New York Stock												
Exchange(NYSE)	0.24	0	0	30.23	0	0	0	0	0	0	0	0

#### Material Aspects:

## August 2024

## **Option Contracts**

#### Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

Venues

											Net Payment	
									Net Payment		Paid/Received for	
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)

#### Material Aspects:

## September 2024

### S&P 500 Stocks

#### Summary

Non-Directed Orders as		Marketable Limit Orders as % of Non-	Non-Marketable Limit Orders as % of Non-	Other Orders as % of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100.00	99.47	0.18	0.26	0.09

#### Venues

							Net Payment		Net Payment Paid/Received for		Net Payment Paid/Received for Non-Marketable	Net Payment
						Net Payment	Paid/Received for	Paid/Received for	-	-	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable		Paid/Received for	-	-	Orders(cents per		per hundred	for Other
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)		Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)
Citadel Securities												
LLC(CDRG)	28.95	29.03	25	16.67	50	0	C	0 0	0	0	0	0
VIRTU Americas,												
LLC(NITE)	23.31	23.51	0	0	50	0	C	0 0	0	0	0	0
Jane Street Capital,												
LLC(JNST)	21.99	22.18	25	0	0	0	C	0 0	0	0	0	0
G1 Execution Services,												
LLC(ETMM)	21.95	22.09	50	0	0	0	C	0 0	0	0	0	0
Two Sigma Securities,												
LLC(SOHO)	1.01	1.02	0	0	0	0	C	0 0	0	0	0	0
StoneX Financial												
INC.(INTL)	0.83	0.84	0	0	0	0	C	0 0	0	0	0	0
UBS Securities,												
LLC(UBSS)	0.74	0.75	0	0	0	0	C	0 0	0	0	0	0
HRT FINANCIAL												
LP(HRTF)	0.57	0.57	0	0	0	0	C	0 0	0	0	0	0
New York Stock												
Exchange(NYSE)	0.48	0	0	61.11	0	0	C	0 0	0	0	0	0
NASDAQ Execution												
Services, LLC(NSDQ)	0.13	0	0	16.67	0	0	C	0 0	0	0	0	0

Material Aspects:

## September 2024

### Non S&P 500 Stocks

#### Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
100	99.24	0.52	0.25	0

#### Venues

											Net Payment	
									Net Payment		Paid/Received for	
								-	Paid/Received for			Net Payment
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)
Citadel Securities												
LLC(CDRG)	30.25	30.36	31.82	0	0	0	0	00	0	0	0	0
VIRTU Americas,												
LLC(NITE)	24.18	24.22	31.82	5.26	0	0	0	00	0	0	0	0
Jane Street Capital,												
LLC(JNST)	21.47	21.6	13.64	0	0	0	0	00	0	0	0	0
G1 Execution Services,												
LLC(ETMM)	20.46	20.55	18.18	0	0	0	0	00	0	0	0	0
UBS Securities,												
LLC(UBSS)	1.24	1.25	0	0	0	0	0	00	0	0	0	0
StoneX Financial												
INC.(INTL)	1.03	1.02	0	5.26	0	0	0	0	0	0	0	0
Two Sigma Securities,												
LLC(SOHO)	0.78	0.79	0	0	0	0	0	00	0	0	0	0
HRT FINANCIAL												
LP(HRTF)	0.19	0.19	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.1	0	0	26.32	0	0	0	0	0	0	0	0
Cboe EDGX US Equities												
Exchange(EDGX)	0.1	0	0	26.32	0	0	0	0	0	0	0	0

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Material Aspects:

September 2024

## **Option Contracts**

#### Summary

	Market Orders	Marketable Limit	Non-Marketable Limit	Other Orders as
Non-Directed Orders as	as % of Non-	Orders as % of Non-	Orders as % of Non-	% of Non-
% of All Orders	Directed Orders	Directed Orders	Directed Orders	Directed Orders
0	0	0	0	0

Venues

venues									-			
											Net Payment	
									Net Payment		Paid/Received for	
							Net Payment	Net Payment	Paid/Received for	Net Payment	Non-Marketable	Net Payment
						Net Payment	Paid/Received for	Paid/Received for	Marketable Limit	Paid/Received for	Limit Orders(cents	Paid/Received
Venue - Non-directed	Non-Directed		Marketable Limit	Non-Marketable	Other	Paid/Received for	Market Orders(cents	Marketable Limit	Orders(cents per	Non-Marketable	per hundred	for Other
Order Flow	Orders(%)	Market Orders(%)	Orders(%)	Limit Orders(%)	Orders(%)	Market Orders(USD)	per hundred shares)	Orders(USD)	hundred shares)	Limit Orders(USD)	shares)	Orders(USD)

Material Aspects: