

MIDWESTERN SECURITIES TRADING - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.44	0.15	0.41	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
VIRTU Americas, LLC(NITE)	29.25	29.41	0	13.64	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	27.87	28.02	0	13.64	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21.24	21.4	33.33	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	19.56	19.56	66.67	13.64	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.67	0.68	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.48	0.49	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.45	0.45	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.41	0	0	50	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.07	0	0	9.09	0	0	0	0	0	0	0	0

Material Aspects:

July 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	98.77	0.83	0.4	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
VIRTU Americas, LLC(NITE)	28.96	29.21	23.08	8.51	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	27.88	28.04	30.77	8.51	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.59	20.81	17.95	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	19.59	19.73	23.08	2.13	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.98	0.98	2.56	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.86	0.88	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.42	0	0	44.68	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.32	0.31	2.56	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.18	0	0	19.15	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.1	0	0	10.64	0	0	0	0	0	0	0	0

Material Aspects:

July 2024

Option Contracts

VIRTU Americas, LLC(NITE)	27.94	28.23	0	1.67	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	26.81	27.06	0	3.33	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	23.4	23.59	0	6.67	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	17.88	18.05	0	1.67	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	1.02	1.03	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.95	0.96	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.88	0.89	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.62	0	75	56.67	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.25	0	25	23.33	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	0.18	0.19	0	0	0	0	0	0	0	0	0	0

Material Aspects:

August 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.52	0.25	0.22	0.01

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
VIRTU Americas, LLC(NITE)	27.91	28.12	34.78	0	0	0	0	0	0	0	0	0
Citadel Securities LLC(CDRG)	27.15	27.37	21.74	1.16	100	0	0	0	0	0	0	0

Material Aspects:

September 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.47	0.18	0.26	0.09

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	28.95	29.03	25	16.67	50	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	23.31	23.51	0	0	50	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	21.99	22.18	25	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21.95	22.09	50	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.01	1.02	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	0.83	0.84	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.74	0.75	0	0	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	0.57	0.57	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.48	0	0	61.11	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.13	0	0	16.67	0	0	0	0	0	0	0	0

Material Aspects:

September 2024

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	99.24	0.52	0.25	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Citadel Securities LLC(CDRG)	30.25	30.36	31.82	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	24.18	24.22	31.82	5.26	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	21.47	21.6	13.64	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.46	20.55	18.18	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.24	1.25	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	1.03	1.02	0	5.26	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.78	0.79	0	0	0	0	0	0	0	0	0	0
HRT FINANCIAL LP(HRTF)	0.19	0.19	0	0	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	0.1	0	0	26.32	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.1	0	0	26.32	0	0	0	0	0	0	0	0

Material Aspects:

September 2024

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0	0	0	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)

Material Aspects: